

08-13-04  
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24231 7590 05/13/2004

**LEXICON GENETICS INCORPORATED**  
**8800 TECHNOLOGY FOREST PLACE**  
**THE WOODLANDS, TX 77381-1160**

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**Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

Nancy Stacey	(Depositor's name)
<i>Nancy Stacey</i>	(Signature)
August 12, 2004	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/025,225	12/19/2001	Xuanchuan Yu	LEX-0285-USA	5106

TITLE OF INVENTION: NOVEL HUMAN PROTOCADHERIN PROTEINS AND POLYNUCLEOTIDES ENCODING THE SAME

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	<del>YES</del> NO	<del>\$365X</del> \$1330	\$0	<del>X\$365X</del> \$1330	08/13/2004
EXAMINER		ART UNIT	CLASS-SUBCLASS		
TELLER, ROY R		1654	536-023200		

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 \_\_\_\_\_  
2 \_\_\_\_\_  
3 \_\_\_\_\_

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Lexicon Genetics Incorporated

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

The Woodlands, TX  
USAPlease check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee☐ Publication Fee☒ Advance Order - # of Copies 4

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-0892 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

*Patented by Peter G. Stacey*  
Reg'd 40162

(Date)

August 12, 2004

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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

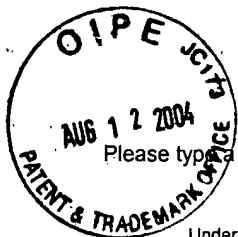
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08/16/2004 WABDEL3 00000045 500892 10025225

01 FC:1501  
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PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/025,225	
	Filing Date	12/19/01	
	First Named Inventor	Yu	
	Group Art Unit	1654	
	Examiner Name	Roy R. Teller	
Total Number of Pages in This Submission	4	Attorney Docket Number	LEX-0285-USA

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Issue Fee Transmittal (Original & Copy) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Return Postcard - Change in Status
Remarks		
Customer # 24231		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Lexicon Genetics Incorporated Lance K. Ishimoto Reg. No. 41,866
Signature	<i>Lance K. Ishimoto by Peter G. Seferian Reg No 40162</i>
Date	August 12, 2004

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express mail EV322477912US in an envelope addressed to: Commissioner for Patents, Mail Stop Issue Fee, P.O. Box 1450, Alexandria, VA 22313 on this date: August 12, 2004			
Typed or printed name	Nancy Stacey		
Signature	<i>Nancy Stacey</i>	Date	August 12, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Yu *et al.*

Serial No.: 10/025,225

Group Art Unit: 1654

Filed: December 19, 2001

Examiner: Roy R. Teller

For: Novel Human Protocadherin  
Proteins and Polynucleotides  
Encoding the Same

Attorney Docket No.: LEX-0285-USA

**CHANGE IN STATUS**

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Alexandria, VA 22313

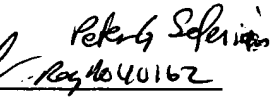
Large entity fee is appropriate at this time as the assignee is now large entity. We have changed the Issue Fee on the attached Fee Transmittal to reflect the correct charge.

Respectfully submitted,

August 12, 2004

Date

  
Lance K. Ishimoto

  
Reg. No. 41,866

LEXICON GENETICS INCORPORATED  
Telephone No.: (281) 863-3333

**Customer # 24231**